

DOCKET



AG 2R 122126
NOV 01 2001 CWS
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

OCTOBER 29, 2001

PTAS

HITT GAINES & BOISBRUM, P.C.
CHARLES W. GAINES
P.O. BOX 832570
RICHARDSON, TX 75083



*101827711

RECEIVED
OCT-7 2002
TECHNOLOGY CENTER 2800

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/14/2001

REEL/FRAME: 012105/0001
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

JONES, CHRISTOPHER D.W.

DOC DATE: 07/30/2001

ASSIGNOR:

MURPHY, DONALD W.

DOC DATE: 08/07/2001

ASSIGNOR:

WONG, YIU-HUEN

DOC DATE: 08/07/2001

ASSIGNEE:

AGERE SYSTEMS GUARDIAN
CORPORATION, A CORPORATION OF
DELAWARE
9333 S. JOHN YOUNG PARKWAY; ROOM
301E1211
ORLANDO, FLORIDA 32819

SERIAL NUMBER: 09929188
PATENT NUMBER:

FILING DATE: 08/14/2001
ISSUE DATE:

012105/0001 PAGE 2

JOANN STEWART, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

FORM PTO-1619A

Expires 06/30/99
OMB 0651-0027

08-29-2001

U.S. Department of Commerce
Patent and Trademark Office
PATENT

8-14-01



101827711

JONES 2-21-60

RECORDATION FORM COVER SHEET
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type☒ New☐ Resubmission (Non-Recordation)

Document ID#

☐ Correction of PTO Error

Reel #

Frame #

☐ Corrective Document

Reel #

Frame #

Conveyance Type☒ Assignment☐ Security Agreement☐ License☐ Change of Name☐ Merger☐ Other**U.S. Government**

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File☐ Secret File**Conveying Party(ies)**☒ Mark if additional names of conveying parties attached

Name (line 1) Christopher D.W. Jones

Name (line 2)

Execution Date
Month Day Year

07 30 2001

Second Party

Name (line 1) Donald W. Murphy

Name (line 2)

Execution Date
Month Day Year

08 07 2001

Receiving Party☐ Mark if additional names of receiving parties attached

Name (line 1) Agere Systems Guardian Corporation

Name (line 2) a Corporation of Delaware

Address (line 1) 9333 S. John Young Parkway; Room 301E1211

Address (line 2)

Address (line 3) Orlando

Florida

32819

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

08/29/2001 TDI A21 00000067 501735 09929188

FOR OFFICE USE ONLY

01 FC:581 40.00 CH

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Correspondent Name and Address

Area Code and Telephone Number (972) 480-8800

Name Charles W. Gaines

Address (line 1) Hitt Gaines & Boisbrun, P.C.

Address (line 2) P.O. Box 832570

Address (line 3) Richardson, Texas 75083

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

7

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

N/A

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
07 30 2001

Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☐ Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

501735

Authorization to charge additional fees:

Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Charles W. Gaines

Name of Person Signing



Signature

08/14/2001

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

Page 3

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark If additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Yiu-Huen Wong

Execution Date
Month Day Year
07 30 2001

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark If additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

☐ Mark If additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

PTO
09/29/98
08/14/01

ASSIGNMENT

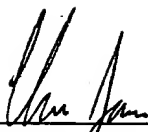
For value received, we, Christopher D.W. Jones, a citizen of Canada and resident of New Providence in the County of Union and the State of New Jersey, and Donald W. Murphy, of Davis in the County of Yolo and the State of California, and Yiu-Huen Wong, of Summit in the County of Union and the State of New Jersey, hereby sell, assign and transfer to Agere Systems Guardian Corporation, a corporation of the State of Delaware, having an office at 9333 S. John Young Parkway; Room 301E1211, Orlando, Florida 32819, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to "INTERDIGITATED CAPACITOR AND METHOD OF MANUFACTURING THEREOF" described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Agere Systems Guardian Corporation the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Agere Systems Guardian Corporation to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Agere Systems Guardian Corporation to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Agere Systems Guardian Corporation in the United States and in all countries foreign to the United States, or to such nominees as Agere Systems Guardian Corporation may designate.

We agree that, when requested, we shall, without charge to Agere Systems Guardian Corporation but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

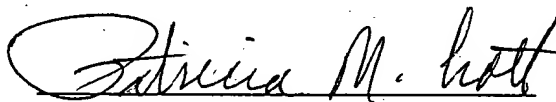


Christopher D.W. Jones

STATE OF New Jersey
COUNTY OF Union

§
§
§

On this 30th day of July, 2001, before me personally came Christopher D.W. Jones, to me known to be the individual described herein and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

My Commission Expires:

PATRICIA M. LOTT
NOTARY PUBLIC OF NEW JERSEY
Registered In Somerset County
My Commission Expires March 24, 2003

Donald W. Murphy
Donald W. Murphy

STATE OF CALIFORNIA

COUNTY OF YOLO

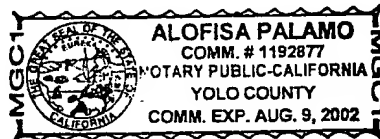
§
§
§

On this 7th day of AUGUST, 2001, before me personally came Donald W. Murphy, to me known to be the individual described herein and who executed the foregoing instrument, and acknowledged execution of the same.

Alofisa Palamo
Notary Public

My Commission Expires:

AUG. 09, 2002



Yiu-Huen Wong
Yiu-Huen Wong

STATE OF New Jersey
COUNTY OF Union

§
§
§

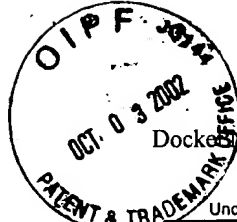
On this 30th day of July, 2001, before me personally came Yiu-Huen Wong, to me known to be the individual described herein and who executed the foregoing instrument, and acknowledged execution of the same.

Patricia M. Lott
Notary Public

My Commission Expires:

PATRICIA M. LOTT
NOTARY PUBLIC OF NEW JERSEY
Registered In Somerset County
My Commission Expires March 24, 2003.

Agere Systems Guardian Corporation
9333 S. John Young Parkway; Room 301E1211
Orlando, Florida 32819



Docket No: JONES 2-21-60

PTO/SB/96 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Agere Systems Inc.

Application No./Patent No.: 09/929,188 Filed/Issue Date: August 14, 2001

Entitled: INTERDIGITATED CAPACITOR AND METHOD OF MANUFACTURING THEREOF

Agere Systems Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

Address: 555 Union Boulevard, Allentown, PA 18109

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Inventor(s) To: Agere Systems Guardian Corp.
The document was recorded in the United States Patent and Trademark Office at Reel 012105, Frame 0001, or for which a copy thereof is attached.

2. From: Agere Systems Guardian Corp. To: Agere Systems Inc.
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

9/25/02
Date

Charles W. Gaines
Typed or printed name
Charles W. Gaines
Signature
Attorney of Record
Title